INSTITUTIONAL ASSESSMENT AND ACCREDITATION OF UNIVERSITIES BY NAAC - THE NEW FRAMEWORK

by

Prof. Dr. S. SIVASUBRAMANIAN,
Former VC Of Bharathiar & Noorul Islam Universities
A-3, Lake View Apartments, Anna Nedun C.halai, Perungudi, Chennai -600096
Mobile: 98423 12928; E-mail: profssn@hotmail.com
What is Quality in Higher Education?

• Does it stand for mere employability

Or much more?

• In my perception, “Quality” stands for preparing our graduates to be the real contributors to the Society. i.e., Our higher education institutions must prepare the graduates with both “primary greatness” (Character and Contribution) and “secondary greatness” i.e., Achievement – Power, Position, Money etc.
1. To prepare the children of this country to face the challenges in life with confidence, ethics, values, good citizenship and service mindedness to the society.

2. To prepare the children with necessary knowledge and skills so that they are able to get employment, seek power, position etc., and earn necessary money to lead a comfortable life.

3. To seek the truth in everything they come across.
CORE VALUES of NAAC

- Contributing to National Development.
- Fostering Global Competencies among the Students.
- Inculcating value system among Students
- Promoting the Use of Technology
- Quest for Excellence
QUALITY IN TERMS OF NAAC PARAMETERS

- Curricular Aspects
- Teaching-Learning & Evaluation
- Research, Innovations & Extension
- Infrastructure and Learning Resources
- Student Support and Progression
- Governance, Leadership & Management
- Institutional Values & Best Practices

Teaching – Learning Process including ICT enabled – Blended learning - Creativity to be ensured with active learning. If possible, Flipped Learning, No Passive learning. No learning by rote. Innovate to educate & Innovate to excel- Everything Student Centric. **Student Satisfaction Survey.**

Skill Development Processes must go hand in hand – Teacher is the best person to impart skill too.

Examinations – ICT enabled-novel, innovative, on-line

Research(Quality must be reflected), Innovations & Collaboration – **Innovation Eco System - IPR activities –University –Industry interaction - Incubation Centre**

Co-Curricular and Extracurricular activities.

Infrastructure – including Learning Resources -Library-ICT enabled- Technology savvy
Student support services including Scholarships, Fee Concession, Placements, Counseling (the three types), Grievance Redressal Mechanism, Student progression, Participation & Activities, **Alumni Engagement.**

Governance – Participatory – HRM including Faculty Empowerment Strategies, Financial Management, Organization and Strategy ( R & D), **Internal Quality Assurance System**

Institutional Values & Best practices– **Institutional Values, & Social Responsibilities**, Best Practices, **Institutional Distinctiveness**

Building up a brand name – Accreditation, Certification, etc.
Curricular Aspects (150)

- Curricular Design & Development (50)
- Academic Flexibility (50)
- Curriculum Enrichment (30)
- Feedback System (20)
Curricular Aspects

- The Universities through the IQAC has to get the **proper feedback** from the stakeholders like Students, Teachers, Alumni, Parents and Employers about the curriculum with regard to its content, relevance and whether it can be covered within the stipulated time and whether it integrates ICT to develop global competencies, ethics, values, good citizenship, environmental awareness etc. – Website.

- With the help of the IQAC, the University Departments need to **organize workshops** for introducing Courses in emerging areas (need assessment) or it can send the faculty to attend such workshops. Its recommendations can be communicated to the HOD who is normally the Chairman/Members of the BOS or he/she can present it during the Academic Council meetings.

- The university through the IQAC also need to be monitor whether the curriculum is implemented as per the Academic Calendar and whether the Teaching Plan & Teachers Diary are in place.

- Through the IQAC, the University have to play a major role in **introducing number of new relevant programs, elective options and need based “Add-on” courses.**

- The Universities’s major responsibilities in the post-accreditation period is to plan and implement **several curriculum enrichment programs** by arranging invited lectures, seminars, workshops, Industrial visits, SDP etc., apart from introduction of new Programs and Courses.

- More importantly, the curricular design must involve prescribing the Program outcomes, Program Specific outcomes and Course outcomes. It should also focus on employability, entrepreneurship and skill development.

- Certain Courses like Environmental Sciences, Disaster Management and Human Physiology have to been made part of curriculum as per the directives of MHRD.
Teaching-Learning and Evaluation (200)

- Student Enrolment and Profile (10)
- Catering to Student Diversity (20)
- Teaching-Learning Process (20)
- Teacher Profile & Quality (50)
- Evaluation Process and Reforms (40)
- Student Performance and Learning Outcomes (30)
- Student Satisfaction Survey (30)
The University has to ensure that the **admission process is transparent** and that there is not only gender equity but also social inclusiveness in the student profile.

The University needs to ensure that there exists a mechanism whereby the slow and advanced learners are identified and there is a proper mentoring for both. (Remedial, Tutor-Ward/Mentor system to be in place). Wherever needed, Orientation Programs and Bridge Courses need to be organized.

In the case of Teaching Learning process, the University needs to ensure that **various kinds of Teaching-Learning methodologies** such as Problem-Solving approach, Project-based Learning, Experiential-Learning, Experimental-Learning. This apart fostering Independent-Learning, Interactive-Learning, besides Collaborative-Learning (Blended Learning & Flipped Learning) which will ensure creativity and critical thinking among the Students need to be promoted. Creativity to be ensured with active learning. No Passive learning. No learning by rote.

Moreover, the University through the IQAC needs to foster **Students-centric approaches in the Teaching-Learning Process.** For example, in adopting ICT, the Teachers be encouraged to make Power-Point presentations and convert them into CDs or put it in Pen drives/Drop-box, E-Platforms, You Tubes, which will enable the Students to make up for the loss of absenteeism, enable a slow learner to go through it number of times so that the Student can understand it, a disinterested Student can learn it at anytime and anywhere when his interest pops-up, thereby enabling anytime, anywhere and any pace learning process. Moreover, this will also enable the Institutions to develop newer e-gadgets. LMS, e-Resources
The University needs to promote the usage of Social Networks like Face-book, You-Tube, Twitter, Blogs etc., for Assignments, Evaluation etc.,

The University must foster Blended-Learning by ensuring the usage of Open-Source materials available in the form of MOOC like edx, Coursera, Phet, Wolfram-alpha, Khan Academy, NPTEL, SWAYAM, e-pathesala etc., which will make the learning process interesting and involving. On the whole, opportunities must be provided by the Vice Chancellor and IQAC for the learner autonomy.

With regard Teachers quality, the University must constantly motivate the Teachers to upgrade their qualification, encourage them to attend Faculty Development Programs and other Curriculum Enrichment Programs.

The University through the IQAC also needs to measure the learning outcomes by analyzing the examination results, comparing it with the neighboring Universities and the different Departments. The learning outcome can also be measured in terms of the Placement and the Positions occupied by the Alumni. (The no of entrepreneurs developed)

There is a need for external Academic Audit of the Departments by Academic Peers every year.

With regard Evaluation, the University must ensure that the Evaluation Process is continuous and fair. There should be formative and summative evaluation. Automation through Examination Management System

The University must also ensure that there is a proper Grievance Redressal mechanism for both the Evaluation Process and general grievances.

The Student’s satisfactory level should be reflected in the survey
RESEARCH, INNOVATIONS AND EXTENSION (250)

- Promotion of Research & Facilities (20)
- Resource Mobilization for Research (20)
- Innovation Eco System (30)
- Research Publications and Awards (100)
- Consultancy (20)
- Extension Activities (40)
- Collaborations (20)
The University must ensure that **Research committee is formed** and it encourages the Faculty and Students to carry out original, quality and innovative research by providing necessary facilities and right ambience. There is a need to identify new research areas suitable to the local and regional needs.

The University should encourage the faculty to go for **extramural funding, if possible seed money to freshers or the Faculties unable to draw funds**

Innovations in the Institution which means something original, novel, need based and relevant which lead to social good must be thought of and practiced. Should adopt an appropriate eco system including the IPR Cell, Industry – University interaction, Skill Development Centre - Incubation Centre.

The **quality must reflected** in terms of their publications in SCOPUS Data-Base Impact-Factor journals; their ability to attract extramural funding (from UGC,CSIR,DST,DBT,DRDO etc.,) by germinating new ideas; to establish Individual-Individual, Institution-Institution, Institution-Industry Collaborations with definite MOUs and to offer Consultancy.

The **Consultancy** in its true sense means the individual or the Institution must get back something; there is no free consultancy and if it is offered free, it is only a Service. Hence, the University must foster consultancy by publishing the potentials available in the Institution in its website.

The **University must promote extension activities** through the NCC, NSS, Rover, Red-Ribbon Club etc., with the help of GOs and NGOs with proven evidences such as MOUs/News Paper cuttings etc.,

The University need to establish Collaborations with Other educational institutions and industries.
INFRASTRUCTURE AND LEARNING RESOURCES (100)

- Physical Facilities (30)
- Library as a Learning Resource (20)
- IT Infrastructure (30)
- Maintenance of Campus Facilities (20)
Infrastructure and Learning Resources

- Infrastructure is not just brick and the mortar alone. Aesthetically designed campus can change the way the people perceive about an Institution. Again here, building green and energy efficient campuses which takes care of Carbon neutrality, e-waste management and hazardous waste management with all latest technologies without compromising Indian traditions is really the job of the Principal.

- Student-Activity Centers, Meditation/Yoga halls, a good Auditorium, State-of-the-art Seminar halls apart from an excellent user friendly, computerized, automated and digitized Library, a multi-gym besides the necessary facilities for Sports and other Cultural activities has to be ensured by the College.

- This apart, the University has a major role in making the Institution computer-savvy by ensuring adequate computer facilities, ICT enabled Class rooms/Smart Class rooms, Virtual-Learning facilities, A Language Lab, Network Resource Centre, access to e-journals/e-books facilities, Wi-Fi Facilities, User friendly Website etc., What is more important for the University is that not only these facilities are made available in the Institution but also they are put to effective usage by all the Faculty and Students.

- The ambience of the campus must be such that it must enable the Students to have free interaction with the academicians and their fellow mates in the matter of academic affairs. The campus must be maintained neatly and must be safe.
STUDENT SUPPORT AND PROGRESSION (100)

- Student Support (30)
- Student Progression (40)
- Student Participation and Activities (20)
- Alumni Engagement (10)
The University must ensure that there exists Tutor-Ward system or preferably Mentoring system in the Institution.

The three types of counseling such as Academic, Career and Personal counseling must be ensured with the establishment of either a Tutor-Ward or Mentoring system, Career Guidance Cell and appointment of a Psychological counselor.

In case, there are more drops-out, the reasons for that must be found out by the University and the remedial measures must be taken. More number of Free ships/Scholarships and recognition of merit must be ensured.

The Students must be motivated to take up higher studies or must get Placements or become entrepreneurs by the efforts of the University/IQAC.

The University must motivate the Students to take part in every co-curricular and extracurricular activity and motivate them to get laurels at least in the state level if not, at the National or International Level.

Apart from ensuring quality education to the Students, the University along with the IQAC has to take necessary steps in imparting Skill development and Personality development Programs which must go hand in hand with the Teaching-Learning Process, so that the Students can attain confidence with effective communication skills, the right kind of attitude for hard work, an aptitude to excel in whatever work they undertake and become congruent to the situation.

The University must have a registered Alumni Association, promote Alumni interaction with the Students, make them contribute academically and financially. Can help in placement, in augmenting Infrastructural facilities etc.
Governance, Leadership and Management (100)

- Institutional Vision and Leadership (10)
- Strategy Development and Deployment (10)
- Faculty Empowerment Strategies (30)
- Financial Management and Resource Mobilization (20)
- Internal Quality Assurance System (30)
GOVERNANCE, LEADERSHIP AND MANAGEMENT

- The University along with the IQAC must ensure that the vision and mission of the Institution is disseminated to all the stakeholders and they should ensure that there is a System and a Structure for the development of the Institution which must be the properly deployed.

- The Faculty empowerment strategies must be fostered by the University.

- The University must ensure that there is participatory governance in the form of several committees which includes representatives from Students, Teachers and Administrative Staff.

- The University must ensure that e-governance is practiced by adopting MIS and computerization all financial management system.

- The University must ensure that there is a proactive IQAC and the IQAC needs to develop a Perspective Plan for the Institution, which must be accompanied by the Strategic Plan to achieve it. It must ensure that the Institution takes part in AISHE, NIRF, ISO Certification, Academic Administrative Audit, any other Quality Audit apart from submitting AQAR. There should be a system and structure.

- Excellence can be achieved with LMS, EMS & KMS
INSTITUTIONAL VALUES AND BEST PRACTICES (100)

- Institutional Values and Social Responsibilities (50)
- Best Practices (30)
- Institutional Distinctiveness (20)
Institutional VALUES and Best Practices (100)

• The University must ensure that there are gender equity promotion programs, apart from programs on climate change and environmental consciousness among all the Stakeholders and it is practiced. Green Audit of the campus need to be done. Steps towards carbon neutrality, e-waste & hazardous managements, conservation of energy and water must be undertaken. It must also foster the institutional social responsibilities for human values and helping the differently-Challenged persons.

• The University need to foster internally evolved best practices which are unique to the institution. Whatever the Best practices which are there in the Institution must be sustained and whatever the Best practices prevailing in other Institutions must be adopted and practiced.

• Those attributes which makes the University distinct from others & characteristic of that institution need mentioning. Core Values of the Institution on the website.
To conclude, the University must see to that the IQAC must be proactive in each in every one of these Criterion-Wise activities, to develop Bench marks for each of the parameters, dissemination of various quality bench marks to every Stakeholder, facilitate learner-centric environment, arrangements for feedbacks and analysis of the same for proper remedial measures, Organization of Workshops /Conferences /Seminars, documentation of various activities, development of institutional data-base with the help of MIS, preparation of Annual Quality Assurance Report etc.,

The University must ensure that it is the entire team which is responsible for the quality not any single individual - be the Vice Chancellor or Coordinator of the IQAC.. Taking every Stakeholder into confidence in its activities will ensure the functioning of the IQAC Successful.-the system should be such.

Creating an identity for the Institution by its unique activities is the responsibility of the leader-viz. The Vice Chancellor He/She must ensure that acting on the earlier Peer Team report must be a priority activity to enhance the quality in the higher education institution. The Vice Chancellor must promote the goal of “Innovate to Excel, Collaborate to grow and Contribute to the Society” This apart the Vice Chancellor must emphasize/practice on the sense of Belongingness and Togetherness
39 DESCRIPTIVE NAAC DOCUMENTS
Executive Summary

Every HEI applying for the A&A process shall prepare an Executive Summary highlighting the main features of the Institution including

- **Introductory Note** on the Institution: location, vision mission, type of the institution etc.
- **Criterion-wise Summary** on the Institutions functioning in not more than 250 words for each criterion.
- Brief note on **Strength, Weaknesses, Opportunities and Challenges (SWOC)** in respect of the Institution.
- **Any additional information** about the Institution other than ones already stated.
- **Over all conclusive explication** about the institutions functioning.

The Executive summary shall not be more than 5000 words
Criterion I ---Curricular Aspects

• Curricula developed /adopted have relevance to the local/ national / regional/global developmental needs with learning objectives including program outcomes, program specific outcomes and course outcomes of all the program offered by the University (20)
Upload description in not more than 500 words

• Institution integrates cross cutting issues relevant to Gender, Environment and Sustainability, Human Values and Professional Ethics into the Curriculum (10)
Upload a description of courses which address Gender, Environment and Sustainability, Human Values and Professional Ethics along with the list of core courses
Criterion II — Teaching, Learning and Evaluation

Catering to Student Diversity

• The institution assesses the learning levels of the students, after admission and organises special programs for advanced learners and slow learners

*Upload a description of the initiatives in not more than 500 words.* (5)

• Student centric methods, such as experiential learning, participative learning and problem solving methodologies are used for enhancing learning experiences (5)

*Upload description of student centric methods in not more than 500 words.*

• Positive impact of reforms on the examination procedures and processes including IT integration and continuous internal assessment on the examination management system (10)

*Upload a description of the examination reforms in not more than 1000 words with reference to: Examination procedures, Processes integrating IT, Continuous internal assessment System.*

Program outcomes, Program specific outcomes and Course outcomes for all Programs offered by the University are stated and displayed on Website and communicated to Teachers and Students.

• Attainment of program outcomes, program specific outcomes and course outcomes are evaluated by the institution (10)

*Upload a description of the method of measuring attainment of POs, PSOs and COs in not more than 500 words and the level of attainment of POs, PSOs and COs*
Criterion III---Research, Consultancy and Extension

Institution has created an eco system for innovations including Incubation centre and other initiatives for creation and transfer of Knowledge (6)
Upload a description of the available incubation centre for innovation and evidence of its usage with their activity in not more than 500 words

• Extension activities in the neighborhood community in terms of impact and sensitizing students to social issues and holistic development during the last five years (10)
Upload a description in not more than 500 words mentioning the impact of the activities in sensitising students to social issues and holistic development
The institution has adequate facilities for teaching-learning, viz., classrooms, laboratories, computing equipment, etc (5)
Upload a description of adequacy of facility for teaching learning as per the minimum specified requirements by statutory bodies.

- The institution has adequate facilities for sports, games (indoor, outdoor, gymnasium, yoga centre etc.,) and cultural activities (5)
Upload a description of adequate facilities for sports, games and cultural activities which include specification about area/size, year of establishment and user rate.

- Library is automated using Integrated Library Management System (ILMS) (4)
Data Requirement for last five years: Provide a description of library with
- Name of the ILMS software,
  Nature of automation (fully or partially),
- Version,
  Year of automation.
• Collection of rare books, manuscripts, special reports or any other knowledge resource for library enrichment (3)

Data Requirement for last five years:
Upload the description of library enrichment which includes
• Name of the book/manuscript
• Name of the publisher
• Name of the author
• Number of copies
• Year of publishing

• Institution frequently updates its IT facilities including Wi-Fi (10)
Upload a description of IT facilities including Wi-Fi with date of updation and nature of updation.

• There are established systems and procedures for maintaining and utilizing physical, academic and support facilities - laboratory, library, sports complex, computers, classrooms, etc. (10)

Upload policy details of systems and procedures for maintaining and utilizing physical, academic and support facilities
Criterion V—Student Support and Progression

• The institution has an active international students cell to cater to the requirements of foreign students (2) (Yes / No)
Upload a description of the international students cell activities in not more than 300 words. Give the connecting link on the institutional Website

• Presence of an active Student Council & representation of students on academic & administrative bodies/committees of the institution (5)
Upload a description in not more than 500 words on Student Council activity and students’ role in academic & administrative bodies

• The Alumni Association/ Chapters (registered and functional) contributes significantly to the development of the institution through financial and non financial means during the last five years (4)
Upload a description of alumni association contribution to the institution in not more than 500 words
Criterion VI—Governance, Leadership and Management

• *The governance of the institution is reflective of an effective leadership in tune with the vision and mission of the University* (5)
Upload the vision and mission statement of the institution and describe in not more than 500 words on the nature of governance, perspective plans and participation of the teachers in the decision making bodies of the university

• *The institution practices decentralization and participative management* (5)
Upload a case study of showing practicing decentralisation and participative management in the institution in not more than 500 words

• *Perspective/Strategic plan and deployment documents are available in the Institution* (2)
Upload one example of activity successfully implemented based on the strategic plan in not more than 500 words
Document: Upload Strategic Plan and deployment documents

• *Organizational structure of the university including governing body, administrative setup, and functions of various bodies, service rules, procedures, recruitment, promotional policies as well as grievance redressal mechanism* (2)
Upload the organogram of the University
Effectiveness of various bodies/cells/committees is evident through minutes of meetings and implementation of their resolutions(2).

Upload an example of one activity successfully implemented based on the Minutes of the meeting of various Bodies/Cells and Committees in not more than 500 words.

The institution has effective welfare measures for teaching and non-teaching Staff(6)

Upload list of the existing welfare measures for teaching and non-teaching staff.

Institution has Performance Appraisal System for teaching and non-teaching Staff(2)

Upload a description of the functioning status of the Performance Appraisal System for teaching and non-teaching staff in not more than 300 words.

Institution conducts internal and external financial audits regularly(2)

Upload an enumeration on the various internal and external financial audits carried out during last five years with the mechanism for settling audit objections.
Institutional strategies for mobilisation of funds and the optimal utilisation of Resources

Upload the resource mobilisation policy and procedures of the university

Internal Quality Assurance Cell (IQAC) has contributed significantly for institutionalizing the quality assurance strategies and processes

Upload two examples of practices institutionalized as a result of IQAC Initiatives

The institution reviews its teaching learning process, structures & methodologies of operations and learning outcomes at periodic intervals through IQAC set up as per norms

Upload two examples of institutional reviews and implementation of teaching learning reforms facilitated by the IQAC

Post accreditation quality initiatives (second and subsequent cycles)

Description of quality enhancement initiatives in the academic and administrative domains successfully implemented during the last five years
Criterion VII – Institutional Values and Best Practices

• **Institution shows gender sensitivity in providing facilities such as:**
  a) Safety and Security (5)
  b) Counselling
  c) Common Room
Upload a description of gender equity initiatives undertaken by the University on the specified areas in not more than 500 words

• **Waste Management steps including:** (3)
  · Solid waste management
  · Liquid waste management
  · E-waste management
Upload a description of efforts towards waste management on campus in not more than 200 words

• **Rain water harvesting structures and utilization in the campus** (1)
Upload a description of efforts towards rain water harvesting on the campus in not more than 200 words
Green Practices (2)
- Students, staff using:
  a) Bicycles
  b) Public Transport
  c) Pedestrian Friendly Roads
- Plastic free campus
- Paperless office
- Green landscaping with trees and plants

Upload a description of efforts towards green practices on the campus in not more than 200 words.

Institution organizes national festivals and birth / death anniversaries of the great Indian personalities (1).

Documents:
Upload a description of efforts of the Institution organizing national festivals and birth / death anniversaries of the great Indian personalities.

The institution maintains complete transparency in its financial, academic, administrative and auxiliary functions (2) (Yes/No)

Documents:
Upload a description of efforts of the Institution towards maintains of complete transparency in its financial, academic, administrative and auxiliary functions in not more than 100 words.
• State at least two institutional best practices (as per NAAC format)
Upload details of two best practices successfully implemented by the institution as per NAAC format (30)

• Describe/Explain the performance of the institution in one area distinctive to its vision, priority and thrust (20)
Upload a description of the institutional performance in one area distinctive to its vision, priority and thrust in not more than 500 words
For 99 Quantitative Metrics

please refer to

Manual for Universities
in NAAC Website
UPDATING THE UNIVERSITY WEBSITE FOR NAAC RE-ASSESSMENT (TOTAL MARKS : 35)
1) Program Outcomes (POs), Program Specific Outcomes (PSOs) and Course Outcomes (Cos) for all programs offered by the institution are stated and displayed on website and communicated to teachers and students...(10)

2) 3.1.1 The institution has a well defined policy for promotion of research and the same is uploaded on the institutional website (1)

3) 3.4.1 Data Requirements: Code of Ethics to check malpractices and plagiarism in Research to be made available on institutional website(1)

4) 3.4.4 Number of Ph.D.s awarded per teacher during the last five years (19)
   Data Requirements:
   - Name of the PhD scholar
   - Name of the Department
   - Name of the guide/s
   - Year of registration of the scholar
   - Year of award of PhD
   Sources-Shodh Ganga, institutional website
5) **3.5.1** Institution has a policy on consultancy including revenue sharing between the institution and the individual (1) (Yes /No)

Documents: Minutes of the meeting of appropriate authority/body displayed on the institutional website

6) **5.1.5** The institution has an active international students cell to cater to the requirements of foreign students. Upload a description of the international students cell activities in not more than 300 words. Give the connecting link on the institutional website (2)

7) **7.1.5** Display of core values of the Institution on its Website (1)
THE REVISED ASSESSMENT & ACCREDITATION FRAME WORK OPERATIVE SINCE JULY 2017

- This Frame work is an explicit Paradigm Shift making it ICT enabled, Objective, Transparent, Scalable and Robust.

How?

- From qualitative Peer Judgment, to the data-based quantitative indicator evaluation with increased objectivity and transparency

- Extensive use of ICT confirming scalability & robustness

- Simplified in terms of no. of questions, size of Report, no of visit days etc.,
The Revised Frame work – contd..

- Boosting of Benchmarking – Attempted through comparison with other international QAFWs
- Introduction of pre-qualifier for Peer Team visit in the form of system generated score ~ 30%
- Introduction of System generated scores with the combination of online evaluation ~ 70%
- Peer evaluation only ~ 30%
- Introduction of 3rd party validation of data
- 4th Cycle 100% off site evaluation
- Appropriate differences in the metrics, weightage & benchmarks to Universities, Autonomous Colleges & Affiliated/Constituent Colleges.
- Enhanced participation of Students and Alumni in evaluation process.
The ASSESSMENT PROCESS

Involves three stages:-

- Self Study Report
- Student Satisfaction Survey
- The Peer Team Report.

- The SSR will have 7 Criteria: two kinds of Metrics Viz. Quantitative metrics – only facts and figures & Qualitative metrics - which are descriptive.

The Key Indicators for Universities is 34

The QlM for Universities is 38
The QnM for Universities is 99
Total Matrics for Universities is 137
Procedural Details

- Two windows: May 1\textsuperscript{st} – June 30; Nov 1\textsuperscript{st} - Dec 31\textsuperscript{st}
- Submit IIQA (i.e Institutional Information for Quality Assessment) online.
- Eligible HEI will be intimated within 10 days.
- Flaws if any can be allowed to rectify twice –i.e three times in the window - then rejection only.
- The SSR need to be uploaded along with IIQA – normally within the window. (\textit{Online only})
- The data submitted will be validated within 30 days – wrong data SSR will be rejected after giving a chance for rectification.
- The process of Data Validation and Verification (DVV) by NAAC Partners will be done in not more than 30 days.
- Concurrently with a DVV, the student satisfaction survey will be conducted online by NAAC. However this is not a part of the Pre-Qualifier.
- After that NAAC will intimate the pre-qualification.
- Within 30 days Peer Team visit will follow.

*\textit{Pl. note: AISHE Code is a must for registration (i.e.) every University must have uploaded the University particulars in the portal of AISHE. The assessment and Accreditation does not cover business education*}
The final result of the Assessment and Accreditation exercise will be a system generated score (SGS) which is a combination of evaluation of qualitative and quantitative metrics. This will be compiled as a document comprising three parts:

**PART I (Peer Team Report)**

Section 1: Gives the general information of the institution and its context.

Section 2: Gives Criterion wise analysis based on peer evaluation of qualitative indicators. Instead of reporting with bullet points, this will be a qualitative, descriptive assessment report based on the Peer Team’s Critical analysis presenting strengths and weakness of HEI under each Criterion.

Section 3: Presents an Overall Analysis which includes Institutional Strengths, Weakness, Opportunities & Challenges

Section 4: Records Recommendations for Quality Enhancement of the Institution (Not more than 10 major ones).
PART II

This part will be a System Generated Profile of the University based on statistical analysis of quantitative indicators in the NAAC’s QIF (quality indicator framework). Graphical presentation of institutional features would be reflected through synthesis of quantifiable indicators.

PART III

This part contains the Institutional Grade Sheet which is based on qualitative indicators, quantitative indicators and student satisfaction survey using calculation methods but it will be generated by a software.
GRADE QUALIFIERS

• A grade qualifier is prepared for the institution to qualify for valid accreditation. The idea of grade qualifiers is based on the focus of the institution. The grade qualifiers will be based on the overall score of the institution (SGS + Peer team visit score).

• In order to qualify for any (C to A++) Institution needs to score at least 30% of the quantitative (System Generated Score) and qualitative score in each criterion.

Grade Qualifiers for the Universities

Minimum CrGPA of 3.01 in Criterion 1, 2 and 3 respectively (For A, A+, A++ Grade)
Minimum CrGPA of 2.01 in Criterion 1, 2 and 3 respectively (For B, B+, B++ Grade)
Minimum CrGPA of 1.51 in Criterion 1, 2 and 3 respectively (For C Grade)
CALCULATION OF INSTITUTIONAL CGPA

• The CGPA will be calculated based on the scores obtained from the three sources.

• Viz., The System Generated Scores (SGS) of the quantitative metrics which comprise about 70% of the total.

• The scores from the qualitative, critical appraisal by the Peer Team through on site visit and scores obtained on the Student Satisfaction Survey.

• These will be collated through an automated procedure based on ‘benchmarks’ and assessed on a five point scale.
<table>
<thead>
<tr>
<th>Range of Institutional Cumulative Grade Point Average (CGPA)</th>
<th>Letter Grade</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.76 – 4.00</td>
<td>A++</td>
<td>Accredited</td>
</tr>
<tr>
<td>3.51 – 3.75</td>
<td>A+</td>
<td>Accredited</td>
</tr>
<tr>
<td>3.01 – 3.50</td>
<td>A</td>
<td>Accredited</td>
</tr>
<tr>
<td>2.76-3.00</td>
<td>B++</td>
<td>Accredited</td>
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<tr>
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<td>B+</td>
<td>Accredited</td>
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<tr>
<td>2.01-2.50</td>
<td>B</td>
<td>Accredited</td>
</tr>
<tr>
<td>1.51-2.00</td>
<td>C</td>
<td>Accredited</td>
</tr>
<tr>
<td>&lt;1.50</td>
<td>D</td>
<td>Not Accredited</td>
</tr>
</tbody>
</table>
Timelines for assessment and accreditation process currently available

<table>
<thead>
<tr>
<th>Window</th>
<th>Start Date</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Window for Colleges seeking Assessment and Accreditation</td>
<td>01.05.2018</td>
<td>30.06.2018</td>
</tr>
</tbody>
</table>

- Last date for submission of institutional information for Quality Assessment IIQA by the Universities is to be announced
- Online submission of SSR for HEI’s – 30 days after the acceptance of IIQA or closure of the window. Whichever is earlier

Note: In preparation towards submission of IIQA & SSR, please refer to the data templates, user manual etc., by clicking “Apply Online” on NAAC Website

www.naac.gov.in
To be a Quality Institution a University Must Have

- A Visionary and Missionary Management
- An effective & Inspiring Vice Chancellor
- Committed Teachers
- Participating Students &
- A watch-dog Society
To maintain the quality ethics etc., the University must be

- A learning Institution – not a teaching Institution
- A thinking Institution – for quality
- A responding Institution (for gender equity, marginalized communities, avoidance of plagiarism)
- People – friendly Institution including marginalized communities and different genders
- A participating Institution - inclusive policy
- A protecting Institution – ethics & values of this great country
- A technology – Savvy institution having plagiarism software's for testing and other means
To maintain the quality, the role of the Vive Chancellor of a University should

He / She should not sugar-coat reality.
He / She should refuse to accept the status quo.
He / She should create a sense of urgency.
He / She should call people to act in accordance with their highest values and he/she must be a role model.
He / She should acknowledge the sacrifice of their Colleagues.
He / She should engage the hearts of Faculty and Students.
He / She should paint a vivid picture of a better tomorrow – Confidence building.
He / She should ensure that there is a sense of Belongingness and Togetherness among all the Stakeholders.
Thank you

Prof. S. SIVASUBRAMANIAN
Former VC of Bharathiar & NI Universities